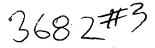
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TRANSMITTAL FORM

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Total Number of Pages in This Submission

Typed or printed name

Application Number 09/753,978

Filing Date January 3, 2001

First Named Inventor Wayne R. Lumpkin

Group Art Unit 3682 - Cnfrm #1708

Examiner Name

Attorney Docket Number AVID.13-3

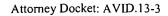
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| Fee Transmittal Fon | m | | nent Papers Application) | After Allowance Communication to Group |
| Fee Attached | | X Drawing | (s) Substitute (24 sheets) | Appeal Communication to Board of Appeals and Interferences |
| Amendment / Reply | | Licensin | g-related Papers | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| After Final | | Petition Petition to Convert to a Provisional Application | | Proprietary Information Status Letter |
| Affidavits/declaration(s) | | | | |
| Extension of Time Request | | Change Address | | Other Enclosure(s) (please identify below): |
| Express Abandonment Request | | | l Disclaimer t for Refund | Cited References - (2-US Patents; 1-Other Document) Reply to Notice to File Corrected |
| X Information Disclosure Statement | | CD, Nu | mber of CD(s) | Part Notice to File Corrected Application Papers |
| Certified Copy of Priority Document(s) | | Remarks MAY 30 | | |
| Response to Missing Parts/ Incomplete Application | | Remarks MAY 30 2001 | | |
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| under 37 CFR 1.52 or 1.53 | | THOUM | | |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | | | |
| Firm or | Swanson & Bratschun, L.L.C. | | | |
| Individual name | Thomas D. Bratschun, #32,966 | | | |
| Signature 797/ | | | | |
| Date May 22, 2001 | | | | |
| CERTIFICATE OF MAILING | | | | |

Signature

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

LUMPKIN

SERIAL NO.:

Assistant Commissioner for Patents

Washington, D.C. 20231 Attn: Official Draftsman

09/753,978

FILED:

JANUARY 3, 2001

TITLE:

FLOATING CABLE STOP FOR A CABLE

ACTUATED BICYCLE COMPONENT

EXAMINER:

ART UNIT:

3682

CNFRM. NO. 1708

MAY 30 2001 3500 May

Dear Sir:

REPLY TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

The applicant hereby replies to the "Notice to File Corrected Application Papers - Filing Date Granted," mailed March 22, 2001. Part 2 of the Notice is enclosed. In reply to the Notice, the applicant submits substitute drawings (24 sheets) in compliance with 37 CFR 1.84.

The undersigned hereby authorizes the charge of any deficiency to deposit account no. 19-5117.

Respectfully submitted,

Thomas D. Bratschun, #32,966 Swanson & Bratschun, L.L.C.

1745 Shea Center Drive, Suite 330

Highlands Ranch, Colorado 80129

(303) 268-0066

(303) 268-0065 (facsimile)

37 CFR 1.8 CERTIFICATE OF MAILING

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/753,978

01/03/2001

Wayne R. Lumpkin

AVID.13-3

CONFIRMATION NO. 1708

Thomas D. Bratschun Swanson & Bratschun, L.L.C. Suite 330 1745 Shea Center Drive Highlands Ranch, CO 80129 RECEIVED

MAY 30 2001

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FORMALITIES LETTER

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NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent/Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE